

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

|   |                               |
|---|-------------------------------|
| -----X  |                               |
|   | :                             |
| <b>In re</b>                                  | :                             |
|   | :                             |
| <b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b> | :                             |
|   | :                             |
| <b>Debtors.</b>                               | :                             |
|   | :                             |
| -----X  |                               |
|   | <b>Chapter 11 Case No.</b>    |
|   | <b>08-13555 (SCC)</b>         |
|   | <b>(Jointly Administered)</b> |
|   | <b>Ref. Docket No. 46538</b>  |

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 4, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
10<sup>th</sup> day of November, 2014

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2018

## **EXHIBIT A**

|   |   |
|---|---|
| In re<br><br>LEHMAN BROTHERS HOLDINGS INC., et al.,<br><br>Debtors. | Chapter 11 Case No.<br><br>08-13555 (SCC)<br><br>(Jointly Administered) |
|---|---|

NOTICE OF DEFECTIVE TRANSFER

Transferor: RYF, BERNHARD  
JUNGFRAUWEG 18  
MUENSINGEN CH-3110 SWITZERLAND

Additional: RYF, BERNHARD  
UPM SCHNEIDER BERTHOLD  
RAINWEG 19  
UTZIGEN CH-3068 SWITZERLAND

Transferee: UBS AG  
ATTN: MR. HUGO KOLLER  
BAHNHOFSTRASSE 45  
ZURICH 8001 SWITZERLAND

Your transfer of claim # 57275 is defective for the reason(s) checked below:

Other ☐ Confirm Transfer Amt-Transfer Ntc is in CHF-Claim is in USD ☐

Docket Number 46538

Date 10/20/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 4, 2014.

## **EXHIBIT B**

TIME: 09:26:11  
DATE: 11/04/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

| Name          | Address   |
|---------------|---|
| RYF, BERNHARD | JUNGFRAUWEG 18 MUENSINGEN CH-3110 SWITZERLAND                   |
| RYF, BERNHARD | UPM SCHNEIDER BERTHOLD RAINWEG 19 UTZIGEN CH-3068 SWITZERLAND   |
| UBS AG        | ATTN: MR. HUGO KOLLER BAHNHOFSTRASSE 45 ZURICH 8001 SWITZERLAND |

Total Number of Records Printed

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